

## SELF-CERTIFIED SOPHISTICATED INVESTOR STATEMENT

Please confirm whether you qualify as a self-certified sophisticated investor on the basis that A, B, C or D apply to you.

**In the last two years have you:**

A) worked in **private equity** or in the **provision of finance for small and medium enterprises?**

No

Yes

If yes, what is/was the name of the business or organisation?

B) been the **director of a company** with an annual turnover of at least £1 million?

No

Yes

If yes, what is/was the name of the company?

C) made two or more **investments in an unlisted company?**

No

Yes

If yes, how many investments in unlisted companies have you made in the last two years?

D) Been a **member of a network or syndicate of business angels for more than six months?**

No

Yes

If yes, what is/was the name of the network or syndicate?

**OR**

E) None of these apply to me.

Yes

**I accept that being a self-certified sophisticated investor will expose me to promotions for investments where there is a significant risk of losing all the money I invest.** I am aware that it is open to me seek advice from someone who specialises in advising on non-transferable debentures.

Name \_\_\_\_\_

Signature \_\_\_\_\_

Date \_\_\_\_\_